

CFP® CERTIFICANT DISCLOSURE FORM (FORM FPE)

For Use In Financial Planning Engagements

This disclosure form gives information about the CFP® certificant(s) and his/her/their business. This information has not been reviewed, approved or verified by CFP Board or by any governmental or self-regulatory authority. CFP Board does not warrant the specific qualifications of individuals certified to use its marks, nor does it warrant the correctness of advice or opinions provided.

PART I. GENERAL INFORMATION

(Code reference - Rule 401)

A. Business affiliation:

Mr. Meaders is a principal shareholder and control person of Magellan Planning Group, Inc, Magellan Legal, LLC, Magellan Wealth Management, LLC, and Magellan Tax Consultants, LLC. Mr. Meaders is also affiliated with Raymond James Financial Services, Inc, (“Raymond James”) Member NASD/SIPC, an independent broker/dealer, as a non-employee contractor. Mr. Meaders is the appointed Office of Supervisory Jurisdiction Manager (OSJ) for Raymond James.

B. Address: 4170 Ashford Dunwoody Rd, Suite 480, Atlanta, GA 30319

C. Telephone number: 404-257-8811

D. Information required by all laws applicable to the relationship (e.g., if the CFP® certificant is a registered investment adviser, the disclosure document required by laws applicable to such registration):

Magellan Wealth Management, LLC, is a Registered Investment Advisor with the State of Georgia. Please refer to Form ADV Part II.

PART II. MATERIAL INFORMATION RELEVANT TO THE PROFESSIONAL RELATIONSHIP

(Written disclosures required to be provided prior to the engagement)

(Code reference – Rule 402)

A. Basic philosophy of the CFP certificant (or firm) in working with clients:

During an initial confidential interview, Mr. Meaders seeks information material to the client’s legal, financial, and tax status. Mr. Meaders analyzes this information in light

of the client's personal preferences and risk tolerance, and develops solutions from the perspective of a fiduciary.

B. Philosophy, theory and/or principles of financial planning which will be utilized:

Using Modern Portfolio Theory, Mr. Meaders will allocate across asset classes according to the proper risk tolerance, time horizon, and income needs of the client. Asset classes are characterized by risk-adjusted returns and standard deviation. Once established, Mr. Meaders utilizes class specific instruments that have a proven track record of outperforming its respective asset class index. Diversification is accomplished using unit investment trusts, mutual funds, and/or variable sub-accounts. Each portfolio is rebalanced quarterly or annually according to its tax qualification and investment platform.

C. Attached to this disclosure form, or summarized in the space provided below, are résumés of principals and employees of the CFP certificant's firm who are expected to provide financial planning services:

1. Educational background:

- B.A. Philosophy/Political Science, Oglethorpe University, Atlanta GA
- International Political & Political Systems, Oxford University, Oxford, England
- Juris Doctor, GA State College of Law, Atlanta, GA
- International Commercial Arbitration & ADR -- Johannes Kepler University Law School, Linz, Austria
- ChFC, American College of Financial Planning, Bryn Wehr, PA
- Post Cold-War Eastern European Economic Integration
- CLU, American College of Financial Planning, Bryn Wehr, PA

2. Professional/employment history:

- 1993-1995 Allegiance Financial Group, Inc – Roswell, GA
- 1995-2000 Smith/Hobbes Investment Advisors – Atlanta, GA
- 2000-Present Magellan Planning Group, Inc. – Atlanta, GA

3. Professional certifications and licenses held:

- NASD Series 7 – General Securities Representative
- NASD Series 63 – Blue Sky Securities License

- NASD Series 24 – Registered Securities Principal
- Series 65 – Registered Investment Advisor
- CFP – Certified Financial Planner
- ChFC – Chartered Financial Consultant
- CLU – Chartered Life Underwriter

D. Description of the financial planning services to be provided by the CFP certificant:

Retirement cash flow analysis and planning, estate planning, charitable planned giving, income and capital gains tax planning, educational planning, multigenerational planning, and/or investment planning.

E. Conflict(s) of interest and source(s) of compensation:

1. Conflict(s) of interest: Certain financial products may offer higher compensation than others which may better serve the client’s needs, particularly in life insurance cases. To help mitigate this potential conflict, we offer the client the five best quotes obtained from a list of highly rated carriers, and let the client choose from several differently priced options.
2. Source(s) of compensation: Hourly fees, flat fees, asset based Fees, trails, and/or commissions. Please see Form ADV-Part II for specific program pricing and volume discounts.
3. Contingencies or other aspects material to the certificant’s compensation: The certificant regularly accepts compensation from investment sponsors rather than directly from the client. The certificant often provides complimentary consultations without payment in anticipation of receiving compensation from investment sponsors. The payment of this compensation is disclosed, and clients have the option of paying the fees themselves rather than having them paid by the plan sponsor, though this will not reduce the clients expenses due to federal and state securities registration requirements.

F. Agency or employment relationships:

1. Material agency or employment relationships with third parties:

The certificant is a registered securities principal and office of supervisory jurisdiction manager (“OSJ”) with Raymond James Financial Services, Inc, an independent registered broker/dealer headquartered in St. Petersburg,

FL. A properly licensed NASD broker/dealer is required to access the financial markets.

2. Compensation resulting from such agency or employment relationships:

All securities compensation is paid through Raymond James Financial Services, Inc.

G. Other material information relevant to the professional relationship:

Clients often refer other clients, in which case we generally will send a \$100 appreciation gift card to the referring client.

PART III. ADDITIONAL NOTIFICATION

A. As a client or prospective client, you have the right to ask me, as a CFP certificant, at any time for information about my compensation related to the services I provide you. I will communicate the requested information in reasonable detail as it relates to our financial planning engagement, including compensation derived from implementation. This disclosure of compensation:

1. May be expressed as an approximate dollar amount or percentage or as a range of dollar amounts or percentages;
2. Shall be made at a time and to the extent that the requested information can be reasonably ascertained;
3. Will be based on reasonable assumptions, with estimates clearly identified, and;
4. Will be updated in a timely manner if actual compensation significantly differs from any estimates.

(Code reference - Rules 402 and 403)

B. As a CFP certificant's personal financial planning client, you have the right to receive annually Magellan Wealth Management's current Form ADV Part II or the current revision of the disclosure you received when our relationship began. (Code reference - Rule 404)

I hereby acknowledge receipt of this required disclosure.

_____/_____
Client's Signature / Date

_____/_____
Client's Signature / Date